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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/458,410	12/10/1999	Mohammad Peyravian	P-4541.004	8813

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EXAMINER

VAUGHAN, MICHAEL R

ART UNIT	PAPER NUMBER
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2131

DATE MAILED: 10/23/2003

6

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/458,410

Applicant(s)

PEYRAVIAN ET AL.

Examiner

Michael R Vaughan

Art Unit

2131

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 10 December 1999.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 10 December 1999 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 5.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other:

Art Unit: 2131

Detailed Action

Claims 1-19 have been examined and are pending.

Information Disclosure Statement

The information disclosure statement (IDS) submitted on 12/10/99 was filed. The submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-4, 6-12, and 14-18 are rejected under 35 U.S.C. 102(b) as being anticipated by Haber et al (USP Re. 34,954).

As per claim 1, Haber et al teach:

Receiving identifying data associated with a document D at an outside agency (column 2, line 55—column 3, line 10);

Creating at said outside agency a first receipt based on said identifying data and a linking value (column 2, line 55—column 3, line 10);

Creating at said outside agency a second receipt based on said identifying data and a linking value (column 4, line 39—column 5 line 36);

Certifying said first and second receipts at said outside agency using a cryptographic signature scheme ([57] and column 4, line 39—column 5 line 36).

Art Unit: 2131

Haber et al do not expressly disclose having a linking value as part of the receipt. However it is inherent that a linking value must exist because the second receipt is created and later combined with the first receipt (column 5, lines 9-15). If there were no linking value then the TSA could not match up receipts. Also Haber et al suggests the use of a random number in the creation of the receipts (column 4, lines 59-62). Random numbers, also called nonces, are commonly used to help identify and link messages to an owner as a form of authentication.

As per claims 2-4 and 6 Haber et al teach a method of identifying data that comprises a hash value generated from a one-way hash function and including the hash value and the time indication to the time stamp receipt (column 3, lines 10-65).

As per claim 7, Haber et al teach said time stamp request further includes an identification number associated with the requestor (column 3, lines 10-65 column 4, lines 8-39).

As per claim 8, Haber et al teach wherein each time stamp receipt includes a sequential record number (column 4, lines 8-20).

As per claim 9, Haber et al teach:

transmitting identifying data associated with said document to an outside agency (column 2, line 55—column 3, line 10 and FIGs. 1-3);

receiving from said outside agency a first receipt based on said identifying data and a linking value (column 2, line 55—column 3, line 10);

receiving from said outside agency a second receipt based on said identifying data and a linking value (column 4, line 39—column 5 line 36);

Haber et al do not expressly disclose having a linking value as part of the receipt. However it is inherent that a linking value must exist because the second receipt is created and later combined with the first receipt (column 5, lines 9-15). If there were no linking value then the TSA could not match up receipts. Also Haber et al suggests the use of a random number in the creation of the receipts (column 4, lines 59-62). Random numbers, also called nonces, are commonly used to help identify and link messages to an owner as a form of authentication.

Art Unit: 2131

As per claims 10-12 and 14 Haber et al teach a method of identifying data that comprises a hash value generated from a one-way hash function and including the hash value and the time indication to the time stamp receipt (column 3, lines 10-65).

As per claim 15, Haber et al teach said time stamp request further includes an identification number associated with the requestor (column 3, lines 10-65 column 4, lines 8-39).

As per claim 16, Haber et al teach wherein each time stamp receipt includes a sequential record number (column 4, lines 8-20).

As per claims 17 and 18, Haber et al teach a common cryptographic signature scheme is used to sign both said first and second receipts with different recipients ([57] and column 4, line 39—column 5 line 36). In the second embodiment where the TSA is a collection of trusted parties each party carries out the same method of creating a timestamp. Therefore, each member of the agency would sign the receipt with his/her own key.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 5, 13, and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Haber et al in view of Liao et al (6,148,405).

As per claims 5 and 13, Haber et al teach a first receipt includes at least a portion of said identifying data (column 3, lines 10-65). Haber et al do not expressly disclose having a nonce as part of the receipt. However, it is implicit that a linking value must exist because the second receipt is created and later combined with the first receipt (column 5, lines 9-15). If there were no linking value then the TSA could not match up receipts. Also Haber et al suggests the use of a random number in the creation

Art Unit: 2131

of the receipts (column 4, lines 59-62). Random numbers, also called nonces, are commonly used to help identify and link messages to an owner as a form of authentication.

Liao et al teach that nonce is used to verify a relationship between messages (column 7, lines 5-25). In view of this, it would have been obvious to one of ordinary skill in the art at the time of the invention to employ the teachings of Liao et al within the system of Haber et al because nonces can be used to establish and verify a relationship between two pieces of data.

As per claim 19, the examiner supplies the same rationale for the motivation as recited in the rejection of claim 5 and 13 to incorporate a nonce within the system of Haber et al.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael R Vaughan whose telephone number is 703-305-0354. The examiner can normally be reached on M-F 7:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on 703-305-9648. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

MV
Michael R Vaughan
Examiner
Art Unit 2131


FRANTZ B. JEAN
PRIMARY EXAMINER